RESNET HERS® Addendum 67

Chapter 1 Update

Date Approved:	May 30, 2025
Voluntary Compliance Date:	July 1, 2025
Mandatory Compliance Date[1]:	January 1, 2026
Transition Period:	July 1, 2025 – January 1, 2026
Proponent:	SDC 900
Organization:	RESNET

Purpose:

The Addendum updates the Chapter 1 Quality Assurance requirements and has been updated together with Chapter 9 for consistency.

Amendment:

Modify Chapter 1 as follows:

Chapter One

101.1 Purpose

The purpose of these Standards is to ensure that accurate and consistent home energy, water efficiency, and carbon emissions ratings are assured by RESNET accredited Rating Quality Assurance Providers ~~(Provider)~~ through their Certified HERS Raters nationwide; to increase the credibility of the Rating Quality Assurance Providers; and to promote voluntary participation in ~~an~~ objective, cost-effective, sustainable home energy, water efficiency, and carbon emissions rating processes.

Leaders in both the public and private sectors have identified the need for an accreditation process for Rating Quality Assurance Providers. This accreditation

[1] The Mandatory Compliance Date- The date on which compliance with an amendment approved for publication shall be required for any Dwelling Unit or Sleeping Unit with a Building Permit Date on or after that date. Alternatively, the date of the HERS Rater or RFI's first site visit, the date of the application of the permit, or the date of the contract on the home is permitted to be used as the Building Permit Date.

process may be used by these stakeholders to accept home energy, water efficiency, and carbon emissions ratings and to assure accurate, independent information upon which the mortgage industry may accept home energy, water efficiency, and carbon emissions ratings for the purposes of issuing energy, water, and/or carbon emissions efficient mortgages, or similar, products; a state may recognize the home energy, water efficiency, and carbon emissions ratings as a compliance method for state building and energy codes; the ratings may be used as qualification for public and private sector energy programs designed to reach specific energy, water, and/or carbon emissions saving goals; and the ratings may be used as a way to provide housing markets the ability to differentiate residences based on their estimated energy, water, and/or carbon emissions efficiency. These Standards have been developed to satisfy the above purposes.

~~101.1.1 Relationship to State Law~~

~~These Standards specifically recognize the authority of states that have laws requiring certification or licensing of Rating Quality Assurance Providers. To the extent that state laws differ from these Standards, state laws shall govern.~~

102.1 Rating Quality Assurance Provider Responsibilities

All accredited Rating Quality Assurance Providers shall have the following minimum responsibilities:

102.1.1 Perform sufficient quality assurance oversight of HERS Raters, Rating Field Inspectors (RFIs) and HERS Modelers to ensure compliance with these Standards and the minimum quality assurance requirements outlined in Chapter 9 – RESNET National Standards for Quality Assurance. This oversight is in addition to the oversight performed by RESNET and quality assurance performed by Quality Assurance Designees of RESNET defined in Chapter 9 – RESNET National Standards for Quality Assurance of these Standards.

102.1.1.1 - The Rating Quality Assurance Provider shall track all Quality Assurance (QA) results in the RESNET Registry, which include:

102.1.1.1.1 - The type of QA performed by the Quality Assurance Designee.

102.1.1.1.2 - The Quality Assurance Designee who performed the QA Review.

102.1.1.1.3 - The results of the QA using the RESNET QA Review Checklist.

102.1.1.1.3.1 The RESNET QA Review Checklist shall be used for all QA Reviews (File and Field). The scoring of the RESNET QA Review Checklist shall be used to determine pass/fail of all QA reviews. Data from all QA Review Checklists will be recorded directly into the RESNET Buildings Registry. The data shall consist

of all the line items on the applicable QA Review Checklist, which are dictated by the Minimum Rated Features ~~which are~~ required for verification and modeling as specified in the ANSI and MINHERS Standards under which the home is registered.

102.1.1.1.3.1.1 Rating Quality Assurance Providers must use the RESNET QA application to complete all QA reviews (File and Field);. OR

102.1.1.1.3.1.2 Rating Quality Assurance Providers must receive prior written approval from RESNET for ~~of~~ any alternative formats ~~in~~ of the current version of the QA Review Checklist before any alternative formats can be used for QA Reviews.

102.1.1.2 - The Rating Quality Assurance Provider shall maintain a full video recording of all Remote QA reviews performed by Quality Assurance Designees for a period of at least three years and be made available to RESNET upon request.

102.1.2 Assess, certify and recertify HERS Raters, RFIs and HERS Modelers as required in Chapter 2 – RESNET National Standards for Instruction, Assessment, and Certification of these Standards.

102.1.3 Ensure Certified HERS Raters and HERS Modelers under their ~~providership~~ Providership use ~~the latest~~an approved version of RESNET accredited software tools as required in section 105 to produce ratings~~ and provide Certified HERS Raters and HERS Modelers notification within 30 days of any software changes~~.

102.1.3.1 When notified by RESNET of any pending standard changes or of any new versions of accredited software tools that would impact the rating process or rating scores, Providers shall notify Certified HERS Raters, RFIs, and HERS Modelers within their Providership of the impact on ratings and/or the rating process on or before the Voluntary Compliance Date established by the RESNET Standards Management Board pursuant to the RESNET Non-ANSI Standards Development Policy and Procedures section 10.8.

102.1.3.2 All Providers are responsible for determining whether their use of any chosen RESNET accredited software tool or version will alter or modify a previously uploaded HERS or CO2e or $HERS_{H20}$ Rating, and shall ensure that previously uploaded HERS and $HERS_{H20}$ Ratings are only altered or modified consistent with section 102.1.10.

102.1.4 Submit Confirmed, Sampled, or Confirmed Threshold ~~Sampled~~ Ratings conducted by their Certified HERS Raters to the National RESNET Registry. Submittal of ratings to the Registry shall be completed within 90 calendar days of the rating date, or certification of the rated home in a~~n~~ Third Party Energy Efficiency Program (EEP), whichever is longer.

102.1.5 Require that Rated Home Registration ID~~'~~s provided by the National RESNET Registry are prominently displayed on all Rating Certifications.

102.1.6 Resolve Certified HERS Rater, RFI and/or HERS Modeler compliance complaints.

102.1.7 Undertake disciplinary action on HERS Raters, HERS Modelers, and RFI~~'~~s when required.

102.1.8 Ensure that HERS Rater ~~and~~, HERS Modeler, and RFI candidates meet the minimum certification requirements of Chapter 2 – RESNET National standard for Instruction, Assessment, and ~~Certiification~~Certification, prior to certification by the Quality Assurance Provider.

102.1.9 Ensure that the only individuals involved in the HERS, HERS$_{H20}$, or CO_2e rating process~~, —~~including plan review, takeoffs, data collection, energy modeling, rating software data inputs, field data collection, field testing, minimum rated feature data collection, and EEP ~~verification~~ verification—are fully certified RESNET professionals holding the appropriate certification from the submitting Provider ~~from that Provider~~ for the work they are performing. Rating Quality Assurance Providers shall not allow any individuals that are not ~~either~~ certified HERS Raters, HERS Modelers, or RFIs within their Providership to perform any portion of the HERS, HERS$_{H20}$, or CO_2e ratings.

102.1.10 Ensure Certified HERS Raters utilizing HERS Modelers and/or RFIs maintain sufficient personal involvement in modeling and field data collection supervision and review~~,~~ to certify their knowledge that the resulting HERS, HERS$_{H20}$, or CO_2e Ratings meet the standards of the profession. Rating Quality Assurance Providers and Certified HERS Raters shall not allow the Certified HERS Rater's ID to be affixed to any HERS, HERS$_{H20}$, or CO_2e Rating not reviewed by the Certified HERS Rater or prepared under the Certified HERS Rater's supervisory control. Rating Quality Assurance Providers shall not perform any substantive alteration to and re-register a previously uploaded HERS, HERS$_{H20}$, or CO_2e Rating that would have a calculation impact on that HERS, HERS$_{H20}$, or CO_2e Rating or any calculation impacts that would change the compliance status of the other compliance methods outlined in Section 101.1 - Purpose without either first conducting a new on-site field inspection through a certified Quality Assurance Designee under their Providership during the course of Field QA or obtaining affirmative agreement or written consent to do so from the original Certified HERS Rater. The original Certified HERS Rater's Rating Quality Assurance Provider, with access to the original Certified HERS Rater's work, or a different Rating Quality Assurance Provider or another Certified HERS Rater (who is not the original Certified HERS Rater) that somehow obtains the original Certified HERS Rater's work may not use any of that original Certified HERS Rater's work to reverse engineer and generate a new Rating on the same address where the construction has progressed past the point where verification of specific minimum rated features would not be possible in accordance with the RESNET standards except in scenarios outlined and approved in

the RESNET Policy on Transferring Rater of Record. All other instances of a Rating Quality Assurance Provider or another Certified HERS Rater (who is not the original Certified HERS Rater) attempting to modify an existing HERS,- $HERS_{H20}$, or CO_2e Rating or reverse engineer and generate a new HERS, $HERS_{H20}$, or CO_2e Rating on the same address using the original Certified HERS Rater work is expressly prohibited.

102.1.11 Confirm eligibility in accordance with section 206.2.~~3~~4 – Eligibility to conduct the ANSI/RESNET/ACCA Standard 310 Inspections of these standards prior to allowing Certified HERS Raters and ~~Rating Field Inspectors~~ RFIs within their Providership to conduct ANSI/RESNET/ACCA Standard 310 inspections and prior to submitting confirmed ratings that include HVAC grading to the Registry.

102.1.12 Ensure those individuals providing grading for equipment installations under ANSI/RESNET/ACCA Standard 310 that are reflected in HERS Ratings submitted by the Rating Quality Assurance Provider are eligible to do so.

102.1.12.1 The following individuals are eligible to conduct inspections for ANSI/RESNET/ACCA Standard 310:

> 102.1.12.1.1 Certified HERS Raters and ~~Rating Field Inspectors~~RFIs confirmed as eligible per 102.1.~~9~~11 – Confirm Eligibility.
>
> 102.1.12.1.2 HVAC contractors or other individuals operating under a qualifying third-party quality control program that issues Independent Verification Reports. Qualifying third-party quality control programs are developed with the Air Conditioning Contractors of America (ACCA) or other industry partners and approved by RESNET.

102.1.13 Carry a minimum of one million dollars in professional liability insurance coverage or be self-insured, to a minimum of one million dollars in professional liability and provide RESNET certificates of the insurance coverage.

102.2 Minimum Standards for Rating Quality Assurance Provider Accreditation

Rating Quality Assurance Providers must meet the following minimum standards for accreditation.

102.2.1 Participation in current training. Prior to submitting an application for accreditation, applicants must participate in a current RESNET training for new Providers.

102.2.2 To apply for accreditation as a RESNET Rating Quality Assurance Provider, applicants must complete an accreditation application developed by RESNET and include a certificate of completion from the RESNET training for new Rating Quality Assurance Providers referenced in ~~103.4.1~~ Section 102.2.1 – Participation in current training.

102.2.3 Submit a written Quality Assurance Process that conforms to Chapter 9 RESNET National Standard for Quality Assurance of these Standards.

102.2.4 Utilize a Quality Assurance Designee to oversee the Provider's compliance with Chapter 9 of these Standards and any specific Quality Assurance requirements for other Provider categories that may apply to a particular organization.

102.2.5 Rating Quality Assurance Providers shall maintain documentation that their Certified HERS Raters, RFIs and HERS Modelers meet the certification provisions contained in Chapter ~~Two~~ 2 – RESNET National Standard for Instruction, Assessment and Certification of these Standards.

102.2.6 Rating Quality Assurance Providers shall provide a due process ~~for appeals~~ which allows their Certified HERS Raters, RFIs and HERS Modelers to ~~appeal~~challenge a probation, suspension, or revocation action taken against them by their Provider. The due process shall comply with RESNET due process procedures contained in Chapter 9 Section ~~910.5 "Probation/Suspension/Revocation Due Process"~~ 912 – Hearing Procedures of these Standards.

102.2.7 Certified HERS Rater, RFI and HERS Modeler Agreements.

102.2.7.1 As a condition of HERS Rater certification, each Rating Quality Assurance Provider shall ensure that a Certified HERS Rater who has met the requirements of Chapter 2, Section 206~~,~~ Certification Candidates, has entered into a written agreement with the Rating Quality Assurance Provider to provide ~~home energy~~ HERS, $HERS_{H20}$ and/or CO_2e ratings, field verification, and testing services in compliance with these standards.

102.2.7.2 As a condition of ~~Rating Field Inspector~~RFI certification, each Rating Quality Assurance Provider shall ensure that a certified ~~Rating Field Inspector~~RFI who has met the requirements of Chapter 2, Section 206~~,~~ Certification Candidates, has entered into a written agreement with the Rating Quality Assurance Provider to provide field verification and testing services in compliance with these standards.

102.2.7.3 As a condition of HERS Modeler certification, each Rating Quality Assurance Provider shall ensure that a certified HERS Modeler who has met the requirements of Chapter 2, Section 206~~,~~ Certification Candidates, has entered into a written agreement with the Rating Quality Assurance Provider to provide energy modeling services in compliance with these standards.

102.2.7.4 A copy of the Rating Quality Assurance Provider's standard Certified HERS Rater, RFI and HERS Modeler written agreements shall be provided to RESNET with the Rating Quality Assurance Provider's accreditation application, as part of the Provider's annual Quality Assurance submission to RESNET, and

within 60 days of making changes to the agreement. The written Certified HERS Rater agreement shall at a minimum require Certified HERS Raters to:

102.2.7.4.1 Provide accurate ratings, field verification and testing in compliance with these Standards. ~~and interpretations;~~

102.2.7.4.2 Submit only those models, field data collection, and testing results reviewed by or conducted by them, which are determined to be in conformity with these Standards.

102.2.7.4.3 Comply with the "RESNET Code of Ethics". The RESNET Code of Ethics is posted on the RESNET website. The Code of Ethics shall be attached to the written Certified HERS Rater agreement.

102.2.7.4.4 Provide any information relevant to HERS, $HERS_{H20}$ and/or CO_2e Ratings and the HERS Rating process as requested by the Rating Quality Assurance Provider.

102.2.7.4.5 Participate in training activities that address changes to the RESNET Standards when required.

102.2.7.5 The Certified HERS Rater Agreements shall include a copy of the Rating Quality Assurance Provider's due process for appeals pursuant to section 102.2.6 – Rating Quality Assurance Providers.

102.2.8 A Rating Quality Assurance Provider shall ensure that the HERS, $HERS_{H20}$ and/or CO_2e Rating Software Program used to produce energy, water, and/or carbon emissions ratings has been properly accredited by RESNET. The directory of RESNET accredited HERS Rating Software Programs ~~are~~is posted on the RESNET web site.

102.2.9 Minimum Standards for Rating Quality Assurance Provider Operation Policies and Procedures must be written and provide for the following:

102.2.9.1 Field and file verification of Minimum Rated Features and labeling of all homes shall comply with Chapter 3 – National Home Energy Rating Technical Standards and standard RESNET/ANSI/ICC 301, Appendix B Inspection Procedures for Minimum Rated Features.

102.2.9.2 ~~Written~~ Cconflict of interest ~~provisions~~disclosure requirements.

102.2.9.2.1 ~~Written~~ Cconflict of interest ~~provisions~~ disclosure requirements prohibit undisclosed conflicts of interest but allow for a waiver with ~~advance~~ disclosure to the Rating Quality Assurance Provider and RESNET in a manner prescribed by RESNET for each unit that receives a HERS rating. ~~The RESNET "Home Energy Rating Standard Disclosure" (Standard Disclosure) form shall be completed for each home that receives a home energy rating.~~

102.2.9.2.1.1 A HERS Rater may elect to provide advance disclosure directly to a home builder or EEP using the RESNET "Home Energy Rating Standard Disclosure" (Standard Disclosure) form for each home that receives a home energy rating as required by that home builder or EEP, or as desired by the HERS Rater.

102.2.9.2.1.2 In the event a HERS Rating is being contracted directly by a homeowner on their property, a HERS Rater must provide advance disclosure to the homeowner using the RESNET "Home Energy Rating Standard Disclosure" (Standard Disclosure) form for each home that receives a home energy rating.

102.2.9.2.2 Home builders and their employees are not allowed to conduct ratings on the homes they build or for which they have a financial interest.

~~102.2.9.2.3 A RESNET Standard Disclosure form shall be provided to the rating client.~~

~~102.2.9.2.4 For multi-family projects and production home communities, the RESNET Standard Disclosure form is not required for each home or unit that receives a home energy rating, but instead shall be provided to the rating client prior to the start of construction and list the name of the project or community. For production home communities, each base floor plan covered by the Standard Disclosure form shall also be listed on the form.~~

102.2.9.3 Written Certified HERS Rater, RFI and HERS Modeler Disciplinary Procedures that include provisions for Probation, Suspension, and Revocation of Certified HERS Rater, RFI and HERS Modeler certification and due process procedures in accordance with section 102.2.6 – Rating Quality Assurance Providers. These provisions at a minimum shall include the defined thresholds for each disciplinary category listed in this Section. The Provider shall update the Certified HERS Rater, RFI and HERS Modeler's status in the National RESNET Registry within ~~twenty (20)~~five (5) business days of any change.

The following represent minimum provisions for each Certified HERS Rater/RFI/HERS Modeler disciplinary category. A Provider's policies and procedures may be more stringent than the following requirements.

102.2.9.3.1 Probation - A Certified HERS Rater/RFI/HERS Modeler found to have committed one or more violations of RESNET standards discovered by a Rating Quality Assurance Provider's Quality Assurance Designee and/or through a Rating Quality Assurance Provider's complaint resolution process, RESNET quality assurance monitoring, or through the RESNET complaint resolution process may be placed on probation by the

Provider. The Provider shall notify the Certified HERS Rater/RFI/HERS Modeler in writing of the specified deficiencies ~~and shall~~, provide an opportunity for hearing pursuant to section 102.2.6, and require ~~that specific~~ any applicable corrective action~~,~~ set forth in the notification~~,~~ be agreed upon and, if possible, implemented~~,~~ not later than twenty (20) business days after the date set forth in such notification. Violations include, but are not limited to, the following:

102.2.9.3.1.1 Noncompliance with annual requirements for quality assurance;

102.2.9.3.1.2 Noncompliance with equipment calibration requirements;

102.2.9.3.1.3 Discovered violations of one (1) or more provisions of the RESNET Standards that result in four (4) or more non-compliant ratings for a calendar year, i.e., the twelve month period from January 1st through December 31st;

102.2.9.3.1.4 Discovered violations of one (1) or more provisions of the RESNET Standards involving requirements for disclosure, professional conduct, record keeping, and-/or reporting;

102.2.9.3.1.5 Misrepresentation of a certification status in marketing materials or services offered or actually provided, for which the HERS Rater does not possess the appropriate RESNET certification from the Provider.

102.2.9.3.2 Suspension - ~~Any~~A Certified HERS Rater/RFI/HERS Modeler ~~certified by a Provider~~ found to have committed one or more violations of RESNET S~~s~~tandards discovered by a Rating Quality Assurance Provider's Quality Assurance Designee and/or through a Rating Quality Assurance Provider's complaint resolution process, RESNET quality assurance monitoring, or through the RESNET complaint resolution process may have their certification suspended by the Provider. The Provider shall notify the Certified HERS Rater/RFI/HERS Modeler in writing of the specified deficiencies, provide an opportunity for hearing pursuant to section 102.2.6 – Rating Quality Assurance Providers shall provide a due process, and require that any applicable corrective action, set forth in the notification, be agreed upon and, if possible implemented, not later than twenty (20) business days after the date set forth in such notification. Violations~~for circumstances~~ includ~~in~~ge, but are not limited to, ~~any of~~ the following:

102.2.9.3.2.1 ~~For n~~Non-compliance with the terms of probation;

102.2.9.3.2.2 Continued discovery of violations through increased quality assurance reviews ~~in accordance with section 904.3.5~~;

102.2.9.3.2.3 Two Probations within a twelve month period;

102.2.9.3.2.4 Willful misconduct;

102.2.9.3.2.5 Misrepresentation of a certification status in marketing materials, or services offered or actually provided, for which the Certified HERS Rater/RFI/HERS Modeler does not possess the appropriate RESNET certification from a RESNET Accredited Provider ~~the Provider~~.

~~102.2.9.3.2.6 Provisions for Certified HERS Rater/RFI/HERS Modeler suspension shall include:~~

102.2.9.3.~~2.6.1~~3 The Provider shall provide w~~W~~ritten notification to ~~the Certified HERS Rater/RFI/HERS Modeler which includes the cause, terms, restrictions, and notifications~~ to ~~third-parties~~ Rating Clients and known EEPs for whom projects were submitted to the RESNET Registry within the last calendar year by the Rater/RFI/HERS Modeler in question ~~of the suspension of~~that the Certified HERS Rater/RFI/HERS Modeler's ability to complete, submit or acquire any new rating projects or new rating business recognized by any RESNET Accredited Rating Quality Assurance Provider has been suspended as of the date of suspension. ~~Written~~

102.2.9.3.4 The Provider shall provide written notification ~~shall inform~~ to RESNET of the Certified HERS Rater/RFI/HERS Modeler ~~of their right to appeal under Section 911 of these Standards;102.2.9.3.2.6.2 After the allowable period of time for appeal, and/or an unsuccessful appeal of~~ suspension~~, notification of suspension to RESNET~~ through the National RESNET Registry within five (5) business days of the date of suspension.~~,~~ ~~known Certified HERS Rater's clients (i.e. builders or other organizations with repeat business with a Certified HERS Rater or Rating Company), to EPA or other known EEP's;~~

102.2.9.3.~~2.6.3~~5 ~~Removal of the Certified HERS Rater's/RFI's/HERS Modeler's name and, in cases of a single Certified HERS Rater company, the company name from any promotional website or lists maintained by Provider.~~The Provider shall remove from any promotional websites or lists they maintain the name of the Certified Rater/RFI/HERS Modeler that are listed by individual name for the duration of the suspension. In cases where there is only one Certified HERS Rater in a HERS Rating organization and that individual is suspended, the entire HERS Rating company shall be removed.

102.2.9.3.~~2.7~~6 At the Provider's discretion, a Certified HERS Rater/RFI/HERS Modeler may be allowed to complete rating work identified as in progress at the time of the suspension provided the following conditions are met:

102.2.9.3.~~2.7~~6.1 The Certified HERS Rater/RFI/HERS Modeler shall submit to the Provider copies of any previously completed site visit documentation for the home(s) in question;

102.2.9.3.~~2.7~~6.2 The Provider and the Certified HERS Rater/RFI/HERS Modeler agree to complete the rating work within a defined minimum timeframe (maximum of 90 days) in compliance with RESNET Standards;

102.2.9.3.~~2.7~~6.3 The Provider shall complete, and Certified HERS Rater/RFI/HERS Modeler agrees to be subject to, QA File review for 100% of the identified ratings completed under this Section. Certified HERS Rater/RFI/HERS Modeler agrees to pay any associated Provider fees for the additional required QA File reviews;

102.2.9.3.~~2.7~~6.4 The Provider shall complete, and the Certified HERS Rater/RFI agrees to be subject to, QA Field review for a minimum 25% of the identified ratings completed under this Section. Certified HERS Rater/RFI agrees to pay any associated Provider fees for the additional required QA Field reviews;
102.2.9.3.~~2.7~~6.5 The Provider shall inform the HERS, $HERS_{H20}$, or CO_2e Rating client ~~is informed the terms and conditions of~~ the Certified HERS Rater/RFI/HERS Modeler suspension.

102.2.9.3.~~2.8~~7 At a minimum, the duration of a suspension shall be 90 days from notification and any hearing pursuant to section 102.2.6 – Rating Quality Assurance Providers shall provide a due process. After successful compliance with the terms of suspension, a Certified HERS Rater/RFI/HERS Modeler shall be eligible to have their certification re-instated under terms for disciplinary probation agreed upon by the Certified HERS Rater/RFI/HERS Modeler and the Provider.

102.2.9.3.~~3~~8 Revocation - ~~Any~~A Certified HERS Rater/RFI/HERS Modeler ~~certified by a Provider shall~~ found to have committed one or more violations of RESNET standards discovered by a Rating Quality Assurance Provider's Quality Assurance Designee and/or through a Rating Quality Assurance Provider's complaint resolution process, RESNET quality assurance monitoring, or through the RESNET complaint resolution process shall have their certification revoked ~~for circumstances~~ by the Provider. The Provider shall notify the Certified HERS

Rater/RFI/HERS Modeler in writing of the specified deficiencies, provide an opportunity for hearing pursuant to section 102.2.6 – Rating Quality Assurance Providers shall provide a due process, and require that any applicable corrective action, set forth in the notification, be agreed upon and, if possible implemented, not later than twenty (20) business days after the date set forth in such notification. Violations includ~~inge~~, but are not limited to, ~~any of~~ the following:

102.2.9.3.8~~3~~.1 A Certified HERS Rater/RFI/HERS Modeler chooses to not renew ~~their~~ certification;

102.2.9.3.8~~3~~.2 For non-compliance with the progressive terms of probation or suspension;

102.2.9.3.8~~3~~.3 Failure to reach an agreement on terms of probation or suspension;

102.2.9.3.8~~3~~.4 The continued discovery of violations through the mandatory RESNET Quality Assurance requirements;

102.2.9.3.8~~3~~.5 Fraud;

102.2.9.3.8~~3~~.6 Failure to complete additional training required by the most recent version of the RESNET Standards

~~102.2.9.3.4 Provisions for revocation of Certified HERS Rater/RFI/HERS Modeler certification shall include:~~

o102.2.9.3.4~~.1~~9 The Provider shall provide ~~W~~written notification to Rating Clients and known EEPs for whom projects were submitted to the RESNET Registry within the last calendar year by the Rater in question that the ~~Certified~~ HERS Rater/RFI/HERS Modeler's ~~which includes the cause for revocation and explanation of notifications to third-parties of the Certified HERS Rater's/RFI's/HERS Modelers~~ ability to complete, submit or acquire any new rating projects or new rating business recognized by any RESNET Accredited Rating Quality Assurance Provider has been revoked as of the date of revocation. ~~Written~~

102.2.9.3.10 The Provider shall provide written notification ~~shall inform~~ to RESNET of the Certified HERS Rater/RFI/HERS Modeler's revocation and ~~of their right to appeal under Section 911 of these Standards;102.2.9.3.4.2 After the allowable period of time for appeal, and/or an unsuccessful appeal of~~ the reason for revocation~~, notification of termination~~ inthrough the National RESNET Registry.~~,~~ ~~to known Certified HERS Rater clients (i.e. builders or other organizations with repeat business with a Certified HERS Rater or Rating Company), RESNET, EPA or other known EEP;~~

102.2.9.3.114.3 ~~Removal of~~ The Provider shall remove Certified HERS Rater's/RFI's/HERS Modeler's name and, in cases of a single Certified HERS Rater c~~Rating~~ompany, the company name from any promotional website or lists maintained by the Provider~~;~~ for the duration of the revocation.

~~102.2.9.3.4.4 Indicate the Certified HERS Rater/RFI/HERS Modeler's revocation and the reason for revocation in the National RESNET Registry.~~

102.2.9.3.125 Certified HERS Rater's/~~RFI's Rating Field Inspector~~RFI /HERS Modeler's who have their certification revoked may at their initiative re-apply for certification to any Rating Quality Assurance Provider as a Certified HERS Rater, ~~RFI Rating Field Inspector~~RFI and/or HERS Modeler candidate ~~found in~~per Chapter 2, Section 207~~,~~ Recertification, ~~are met.~~unless the HERS Rater, ~~Rating Field Inspector~~RFI or HERS Modeler is revoked for fraud. In the case of revocation due to fraud, the HERS Rater, ~~Rating Field Inspector~~RFI or HERS Modeler is not allowed to re-apply for certification.

102.2.9.4 Rating ~~and Tax Credit Verification R~~recordkeeping. Rating Quality Assurance Providers and/or their certified HERS Raters shall maintain the Quality Assurance Data File for each registered rating ~~and tax credit verification~~ for a minimum of three (3) years.

102.2.9.5 Complaint Response System. Each Rating Quality Assurance Provider shall have a system for receiving complaints. The Rating Quality Assurance Provider shall respond to and resolve complaints related to ratings, field verification, diagnostic testing services, and reports. Rating Quality Assurance Providers shall ensure that Certified HERS Raters inform purchasers and recipients of ratings and field verifications about the complaint system. Each Rating Quality Assurance Provider shall retain records of complaints received and responses to complaints for a minimum of three (3) years after the date of the complaint.

102.2.9.6 Site data collection manual. All Rating Quality Assurance Providers shall provide their Certified HERS Raters/RFIs/HERS Modelers with a manual containing procedures for the on-site collection of data that at a minimum shall include the on-site inspection procedures for minimum rated features for new and existing homes provided in standard ANSI/RESNET/ICC 301, Appendix B Inspection Procedures for Minimum Rated Features.

103.1 National Registry of Accredited Providers

RESNET shall maintain a national registry of organizations accredited as Providers in each Provider accreditation category and will post the registry on its web site. The

registry for each Provider accreditation shall serve as the current and definitive list of RESNET accredited Providers.

103.2 Provider Accreditation Process

103.2.1 The organization seeking accreditation must file an application for the specific Provider category in which they seek accreditation with RESNET. RESNET shall create the applications for each accreditation category.

103.2.2 Rating Quality Assurance Provider Accreditation shall be in accordance with Section 103 – Provider Accreditation and Renewal Process.

103.2.3 Confidentiality of Information. All applicants for Provider accreditation shall have all information in their application treated as confidential throughout the application process. Upon acceptance of the accreditation application, all governing documents shall be made public. Proprietary information relating to internal HERS Rating procedures, processes and policies will not be considered governing documents and will not be made public.

103.2.4 Review and Notification.

103.2.4.1 RESNET staff action. Within twenty (20) business days of receipt of an application, RESNET staff will review the application to determine whether the applicant is eligible for accreditation in accordance with the specific requirements for each Provider category. Upon completion of the review, RESNET staff shall do one of the following:

103.2.4.1.1 Request additional information. If additional information is required ~~in order~~ to complete the review of the application, the application shall be returned to the applicant along with a written request for additional information. Upon receipt of additional information, RESNET staff shall have ~~fifteen (15)~~ ten (10) business days to take action in accordance with 103~~2~~.2.4.1.2 – Recommended approval of application or 10~~2~~3.2.4.1.3 – Recommended denial of application.

103.2.4.1.2 ~~A~~Recommend approval of application. If RESNET staff is satisfied that an application is complete and meets all the requirements for accreditation, they shall approve the application and make a recommendation to the Accreditation Committee that the application be approved.

103.2.4.1.3 ~~D~~Recommend denial of application. If RESNET staff is not satisfied that an application meets ~~is worthy of~~ all of the requirements for approval for accreditation, they shall make a recommendation to the Accreditation Committee that the application be denied and provide an explanation of the reasons for the recommended ~~recommendation~~ denial

(i.e. incompleteness, failure to meet/comply with a specific accreditation requirement, etc.).

103.2.4.2 Accreditation Committee action. Within fifteen (15) business days of receipt of a recommendation for approval or denial from RESNET staff, the Committee shall do one of the following:

103.2.4.2.1 Request additional information. If the Committee requires additional information, the application shall be returned to the applicant along with a written request for additional information. Upon receipt of additional information, the Committee shall have fifteen (15) business days to render a decision in accordance with 103.2.4.2.2 or 103.2.4.2.3.

103.2.4.2.2 Approve the application.

103.2.4.2.3 Deny the application.

103.2.4.3 - RESNET staff shall inform the applicant. Within ten (10) business days of a decision by the Committee, RESNET staff shall inform the applicant in writing of the status of their application. If an application is denied, RESNET staff shall inform the applicant in writing of the reasons for denial. Additionally, the applicant shall be informed of their right to appeal ~~in~~ this denial pursuant to Chapter 9 – RESNET National Standard for Quality Assurance of these Standards.

~~103.2.4.3 Within ten (10) business days of a decision by the Committee, RESNET staff shall inform the applicant in writing of the status of their application.~~

103.2.5 For each approved Provider accreditation application, RESNET shall issue a unique Accreditation Identification Number (AIN) to the Provider. In accordance with ~~102.1~~103.1 National Registry of Accredited Providers, the accredited Provider will be incorporated into the respective national registry of accredited Providers.

103.2.6 Term of accreditation.

103.2.6.1 All Provider accreditations shall be valid for a term of one calendar year and shall be renewed annually on January 1st upon successful completion and approval by RESNET of an application for renewal in accordance with Section 10~~2~~3.3 – Provider Accreditation and Renewal Process.

103.2.6.2 ~~For first time~~ First-time applications ~~any~~ in any ~~-~~Provider category approved after September 1st~~,~~ but before December 31st in any calendar year shall not be required to renew for the following calendar year ~~in which the application was approved.~~ Such Provider shall sign an Accreditation Agreement dated as of the date of approval and terminating December 31st of the following calendar year.

103.3 Provider Accreditation Renewal Process

103.3.1 Accredited Providers must submit an "application for renewal" (renewal application) with RESNET no later than October 1st of each calendar year. By September 1st, RESNET shall send to each Provider a renewal application and reminder of the deadline for submission.

103.3.2 Program Eelement Cchanges. At the time of submitting a renewal application, it is the accredited Provider's responsibility to inform RESNET staff of any substantive changes in the Provider's operating policies and procedures or other information that may affect the Pprovider meeting the minimum accreditation criteria for each Provider category for which it is seeking renewal. Changes will be evaluated by RESNET following the procedures outlined in section ~~102.2~~103.3.5 – Review and Notification.

103.3.3 Rating Quality Assurance Provider Accreditation Renewal. To qualify for annual accreditation renewal, Rating Quality Assurance Providers must participate in an annual RESNET training. The a~~A~~ttendee must be the individual listed as the RESNET primary point of contact for the Pprovidership. The training would cover, at a minimum, the following:

103.3.3.1 Updates to the RESNET ANSI and Non-ANSI Standards;

103.3.3.2 Effective support and communication with Certified HERS Raters, RFIs and HERS Modelers;

103.3.3.3 RESNET Quality Assurance updates and overview of critical findings from the year;

103.3.3.4 Updates for National RESNET Registry use;

~~103.3.4 Successful renewals. Successful renewals will be posted on the national registry and communicated to the applicant by RESNET.~~

103.3.4~~5~~ Late a~~A~~pplications.

103.3.4~~5~~.1 Renewal applications received after the deadline for submission are not guaranteed to be approved prior to the end of the calendar year. Should an accreditation with a late renewal application expire prior to approval, the RESNET Accreditation Committee, at its sole discretion, may grant an extension with a grace period not to exceed twenty (20) business days.

103.3.~~5~~4.2 Renewal applications not given an extension or not approved prior to the end of the grace period shall be noted as "pending" on the national registry and the applicant will be advised to cease representing themselves as accredited until the renewal application receives approval.

~~103.3.6 Accreditation not renewed. Accredited Providers that elect not to renew or fail to meet renewal requirements will be removed from the national registry and be so~~

~~advised in writing. Providers have the right to appeal a non-renewal decision in accordance with Chapter 9 of these Standards.~~

103.3.5 Review and Notification.

103.3.5.1 RESNET staff action. Within twenty (20) business days of receipt of a renewal application, RESNET staff will review the renewal application to determine whether the applicant is eligible for continued accreditation in accordance with the specific requirements for each Provider category, any program element changes pursuant to section 103.3.2 – Program Element Changes, any required annual RESNET training pursuant to section 103.3.3 – Rating Quality Assurance Provider Accreditation Renewal, Provider's compliance and performance under the RESNET Standards, and Provider's compliance and performance under its current Accreditation Contract. Upon completion of the review, RESNET staff shall do one of the following:

103.3.5.1.1 Request additional information. If RESNET requires additional information, the renewal application shall be returned to the applicant along with a written request for additional information. Upon receipt of additional information, RESNET shall have fifteen (15) business days to render a decision to approve or deny the renewal application.

103.3.5.1.2 Approve the application.

103.3.5.1.3 Deny the application.

103.3.5.2 Within ten (10) business days of a decision by RESNET, RESNET staff shall inform the applicant in writing of the status of their renewal application. If a renewal application is denied, RESNET staff shall inform the applicant in writing of the reasons for denial. Additionally, the applicant shall be informed of their right to appeal this denial pursuant to Chapter 9 – RESNET National Standards for Quality Assurance of these Standards.

103.3.6 Successful Renewals. Successful renewals will be posted on the national registry after the Provider and RESNET execute a Provider Agreement for the renewal calendar year.

103.3.7 Accreditation Not Renewed. After any time for appeal pursuant to Chapter 9 RESNET National Standard for Quality Assurance of these Standards, any Accredited Provider with a denied renewal application will have their accreditation revoked and will be removed from the RESNET National Registry.

104.3 Ratings

The Rating Quality Assurance Provider will register ratings and maintain the National RESNET Registry in accordance with the policies and procedures established by

RESNET. Information required for each rated home entered into the National RESNET Registry shall include, at a minimum, the following:

104.3.1 The Rated Home characteristics, including but not limited to the following:

104.3.1.1 Physical location of the home, including street address, city, state and zip code

104.3.1.2 IECC climate zone of the home

104.3.1.3 Certified HERS Rater, RFI and HERS Modeler RESNET assigned identification numbers.

104.3.1.4 Accredited Rating Quality Assurance Provider ID

104.3.1.5 Date of the Rating

104.3.1.6 Status of the Rated Home (new or existing)

104.3.1.7 Rating Type for the home (Confirmed, Threshold or Sampled)

104.3.1.8 Home Type (single-family, duplex, low-rise Multi-family)

104.3.1.9 Conditioned Floor Area of the home

104.3.1.10 Number of bedrooms in the home

104.3.1.11 The name and version number of the accredited software rating tool that created the Rating

104.3.2 The Rating results, including but not limited to the following:

104.3.2.1 Registration ID (provided by the National RESNET Registry)

104.3.2.2 HERS Index Score

104.3.2.3 Annual Rated Home energy end uses for heating, cooling, hot water and lighting and appliance energy end uses by fuel type

104.3.2.4 Annual Rated Home on-site power production (OPP)

104.3.2.4.1 Status of Renewable Energy Certificates where renewable energy system OPP is considered in CO2 Index ratings.

104.3.2.5 Energy prices used to calculate costs by fuel type

104.3.2.6 Annual total cost to operate the Rated home

104.3.2.7 Annual Rated Home normalized Modified End Use Loads

104.3.2.8 Annual HERS Reference Home End Use Loads

104.3.2.9 Annual HERS Reference Home energy end uses for heating, cooling, hot water and lighting and appliance energy end uses by fuel type

104.3.3 An executable copy of the building input file used by the accredited software rating tool to generate the Home Energy Rating.

104.3.4 Name of the Energy Efficiency Program the Rating is developed for: RESNET; Third Party Energy Efficiency Program name, or; energy code name

105 HERS Rating Software

105.1 Accreditation.

All HERS Software Tools shall be accredited by RESNET based on compliance with the test criteria specified in the most current version of RESNET Publication 002 Procedures for Verification of RESNET Accredited HERS® Software Tools and Chapter 3 – National Home Energy Rating Technical Standards of MINHERS.

105.1.1 Changes to the requirements of P~~p~~ublication 002 – Procedures for Verification of RESNET Accredited HERS® Software Tools shall be governed by RESNET's Standards Development Committee 300 – Inspections, Testing, and Calculations of Energy Ratings.

105.2 Software Technical Appeals.

105.2.1 Technical appeals for software tools shall be submitted to the RESNET Standing Software Consistency Committee (SCC) for resolution. Software accreditation shall not be delayed due to a Software Technical Appeal.

105.3 Version Requirement. For the purposes of conducting Home Energy Ratings, as defined in these Standards, all users of RESNET Accredited Software shall use ~~the most current~~ an approved version of one of the RESNET Accredited Software Tools as required by section 105.3 Rating Software Changes and Chapter 5 section 502.3 Publication of Standards and listed in the "National Registry of Accredited Rating Software Programs" posted on the RESNET website.

105.~~3~~4 Rating Software Changes. When a new version of an Approved Software Rating Tool is released, the new version shall be used for Ratings on Dwelling Units or Sleeping Units with a Building Permit Date on and after the following timelines:

i. The 6-month anniversary of the software release date,

ii. If the software version was released in response to an amendment of any rating standard, the Mandatory Compliance Date determined pursuant to section 502.5 – Defining the Mandatory Compliance Date for an Amendment and 502.~~7~~6 – Defining the Transition Period for an Amendment~~.~~,

iii. A date specified by the RESNET ~~Board of Directors~~Standards Management Board.

Alternatively, the date of the Certified HERS Rater's or RFI's first site visit, the date of the application of the permit, or the date of the contract on the home, or the date of an application for low-income tax credits or government administered competitive financing for a project meeting the State or local government definition for low income or affordable housing are~~is~~ permitted to be used as the Building Permit Date.

~~105.4 Software Technical Appeals~~

~~105.4.1 Technical appeals for software tools shall be submitted to the RESNET Standing Software Consistency Committee (SCC) for resolution. Software accreditation shall not be delayed due to a Software Technical Appeal.~~

106 Ratings Provided for Third-Party Energy Efficiency Programs

106.1 See Appendix B- Glossary of Terms for definition of Third Party Energy Efficiency Program (EEP).

106.2 When working with EEP~~'~~s, Certified HERS Raters may be required to perform tests, inspections, verifications and reporting that require skills related to energy efficiency not specific to Home Energy Ratings as defined in these Standards and/or are required to become a Certified HERS Rater. However, it is the responsibility of Certified HERS Raters to perform all of the stipulated tests, inspections, verifications and reporting related to energy efficiency required by the EEP when agreeing to work with their program, including proper completion of any and all checklists, certificates, or other documentation. Where a Certified HERS Rater/RFI/HERS Modeler does not possess the proper skill or knowledge of a particular test, inspection, verification or reporting requirement, they shall be responsible for obtaining sufficient training from the EEP, or trainer approved by the EEP, to properly fulfill the requirement. An exception may be made in cases where portions of an EEP's testing, inspection, verification or reporting process are completed by another company or individual who holds the required training or certifications.

106.3 See Section 906 for QA Requirements for EEP~~'~~s.

106.4 See Section 105.3 – Version Requirement, Section 105.4 – Rating Software Changes, and Section 502 – Revisions and Amendments for HERS and RESNET Accredited Software Tools version use requirements.

107 Accreditation Committee

The Accreditation Committee shall have the responsibility of reviewing and approving all Applications for Provider accreditation submitted to RESNET. The Committee shall report to the RESNET Deputy Director.

107.1 Committee Membership

The Accreditation Committee shall be chaired by a member of the RESNET Board of Directors. The Chairperson shall be approved by the RESNET Board. Nomination of Committee members shall be made by the Chairperson. The Committee shall be composed of six (6) members, including the Chairperson. The Chairperson is a non-voting member of the committee. The entire Committee, including the Chairperson, shall be inactive in the HERS industry. “Inactive” shall mean a member who has not provided services as a Certified HERS Rater, HERS Modeler, ~~Rating Field Inspector~~RFI, or an accredited Rating Quality Assurance Training or Software Provider since at least January 1 of the calendar year preceding their nomination to the Committee. Any Committee member who resumes providing services as a Certified HERS Rater, HERS Modeler, ~~Rating Field Inspector~~RFI, or an accredited Rating Quality Assurance or Training or Software Provider must resign from the Accreditation Committee.

~~**HERS Update to Standard ANSI/RESNET/ICC 301-2019**~~

~~MINHERS Addendum 42 adopted Standard ANSI/RESNET/ICC 301-2019 to replace Standard ANSI/RESNET/ICC 301-2014 as the basis for calculation of the HERS rating. Addendum 42 currently allows voluntary use of RESNET accredited software based on Standard 301-2019 and will require use of Standard 301-2019 on January 1, 2022. See the section 502.3.2 definition for Mandatory Compliance Date.~~

Appendix B - Glossary of Terms

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Accreditation Committee
A Standing Committee of the RESNET organization that is responsible for the review and approval of all Applications for Provider accreditation submitted to RESNET.

Providership
The organizational structure of an Accredited Rating Quality Assurance Provider.